



Mutual Funds Application Form
INDIVIDUAL

ARM MUTUAL FUNDS - APPLICATION FORM



SECTION 1 – GENERAL INFORMATION

Date: Account Manager: Control No. (Internal):

NEW ARM CUSTOMER

☐ Send me information about other ARM Products & Services

EXISTING ARM CUSTOMER

(Please tick the box to select any other ARM products or services that you have)

☐ ARM Pensions☐ Real Estate☐ Others☐ ARM Life☐ ARM Securities☐ Private Wealth PortfolioPORTFOLIO CODE

SECTION 2 – PRODUCT INFORMATION (PLEASE SELECT ALL THAT APPLY)

☐ ARM Money Market FundAmount you want to invest ☐ ARM Discovery FundAmount you want to invest ☐ ARM Ethical FundAmount you want to invest ☐ ARM Aggressive Growth FundAmount you want to invest

FOR FUND MANAGER'S USE ONLY

Offer Price: Units Allocated:

ARM STRICTLY PROHIBITS payment of CASH by existing and prospective clients to any representative of the Company, for any reason whatsoever. All payments to the Company shall be by way of cheques or bank drafts issued in the name of the Fund or by direct bank transfers or cash payments to the Company's designated Bank Accounts. The Company shall not be liable for any direct or indirect loss or damage which may arise from failure of the Client to adhere to this directive. If you do not receive any investment notification 48 hours after making payment for your investment, please call ARM Engage (our quick-response Customer Contact Centre) on 0700 2255 276.

SECTION 3 – CUSTOMER INFORMATION

FULL NAME

Title Surname First Name Other Names

IF APPLICANT IS A MINOR, PLEASE WRITE NAME OF SPONSOR BELOW

Title Surname First Name Other Names

RESIDENTIAL ADDRESS:

Town/City State Country DATE OF BIRTH: dd / mm / yyyyNationality Occupation Mother's Maiden Name Mobile Phone Number Email Address Type of ID ID Number Next of Kin Relationship With Next of Kin Next of Kin's Phone Number Next of Kin's Address Next of Kin's Email Address Preferred mode of communication ☐ Email ☐ Telephone ☐ Letter ☐ Visit ☐

FOR JOINT APPLICANTS:

Surname OtherNames CUSTOMER BANK ACCOUNT INFORMATION: Account Name Account Number Bank Name BVN Number

Dividends/ Redemption payments will only be made to the bank account details stated above.

ARM PROVIDES YOU THE OPTION TO RE-INVEST YOUR DIVIDENDS. WOULD YOU LIKE TO RE-INVEST YOUR DIVIDENDS? ☐ YES ☐ NOYES, I'D LIKE TO SET UP A DIRECT DEBIT MANDATE ☐NATIONALITY: ☐ Nigerian ☐ If not Nigerian please state Nationality If you have residence in a jurisdiction other than Nigeria, please state the jurisdiction If you are a US citizen or US tax resident, please provide your US Tax Identification Number.

Do you hold a senior public office (in or outside Nigeria), or have a close business or personal connection to such a person? (A person who holds a senior public office includes persons who hold, or have held, political offices such as senior government officials, members of the judiciary, senior executives of government-owned companies, members of royal families, etc.). If yes, please state the source of the assets or funds to be invested.

☐ I am at least 18 years old

☐ I have attached a cheque, bank draft, or evidence of fund transfer/ payment made payable in the name of my chosen fund to ARM with my name captured overleaf

☐ I/We understand that as with all stock market investment, the prices of Mutual Funds (i.e. ARM Discovery Fund, ARM Aggressive Growth Fund, and ARM Ethical Fund) invested in quoted securities may go down or up. I understand that past performance is not an indication of future performance.

☐ I agree to comply with the minimum investment period specified for any of The Mutual Funds, failing which I accept any losses, charges or costs that may arise at the point of redemption of my investment.

☐ I agree that my e-statement can be sent at my risk to the correspondence address/email address I have provided.

☐ I hereby authorize the Fund Manager to honour redemption requests and instructions sent by electronic mail in respect of my investment holdings in the Fund.

☐ I declare that the above information is true

In consideration of the Fund Manager honouring my requests and instructions sent by electronic mail, I hereby undertake to indemnify the Fund Manager against any loss, liabilities, damages, claims, proceedings, cost or expenses of whatever nature that may be incurred by the Fund Manager as a result of any issue arising from the honouring of my redemption requests and instructions sent by electronic mail from my designated email address stated above.

☐ Completed Application Form	☐ Proof of Address (Utility Bill, Tenancy Agreement, etc)	☐ Sponsor's means of Identification (Drivers License, Int'l Passport or National ID card)
☐ Means of Identification (Drivers License, Int'l Passport or National ID card)	☐ Recent Passport Photograph (not more than 6 months old)	
☐ Birth Certificate (if applicant is a minor)	☐ Evidence of Initial Deposit/Transfer (Copy)	

BANK	AGGRESSIVE GROWTH FUND	DISCOVERY FUND	ETHICAL FUND	MONEY MARKET FUND
GTBank	0004201332	0004201246	0051891760	0124516495
First Bank	1000012383	1000011379	2018262023	
Zenith Bank	1011274864	1011202603	1012732194	

US DOLLAR PAYMENTS	EURO PAYMENTS	POUND STERLING PAYMENTS
CORRESPONDENT BANK: CitiBank, New York, USA SWIFT CODE: CITIUS33 ABA NUMBER: 0210-0008-9 FOR CREDIT OF: GTBank, Lagos ACCOUNT NUMBER: 0004203329 FOR CREDIT TO ACCOUNT OF: Asset & Resource Management Co. Ltd. ACCOUNT NO: 131211/2/1/1	CORRESPONDENT BANK: CitiBank, London SWIFT CODE: CITIGB2L SORT CODE: 185008 FOR CREDIT OF: GTBank, Lagos SWIFT CODE: GTBINGLA ACCOUNT NUMBER: 0004203398 FOR CREDIT TO ACCOUNT OF: Asset & Resource Management Co. Ltd. ACCOUNT NO: 131211/46/1/0	PAYMENTS FROM WITHIN THE UK: PAY TO: FBN (UK) Ltd. SORT CODE: 40-52-04 FOR: Asset & Resource Management Co.Ltd. ACCOUNT NUMBER: 10271401 PAYMENTS FROM OUTSIDE THE UK: PAY VIA: HSBC Bank plc, London SWIFT CODE: MIDLGB22 TO: FBN (UK) Ltd. IN FAVOUR OF: Asset & Resource Management Co. Ltd. ACCOUNT NUMBER: 10271401-gbp-cacorp-01
IMPORTANT NOTICE: <i>Kindly be advised that SEC regulations require that we return remitted funds back to the originating account if account opening documentation is not completed within two weeks of receipt of funds by ARM.</i>		

SECTION 7 – ARM LOCATIONS

LAGOS: ° 1 Mekunwen Road, off Oyinkan Abayomi Drive Ikoyi, Lagos ° 68C Coker Road, Town Planning Way, Ilupeju ° 86 Adeniran Ogunsanya Street, Surulere	ABUJA ° 129 Adetokunbo Ademola Crescent, Wuse II, Abuja	
ONITSHA: ° 60A Old Market Road, Opp. Broadway Cinema, Onitsha, Anambra	PORT HARCOURT: ° 12 Circular Road , Presidential Estate II, GRA, PH	

SECTION 8 - CUSTOMER EXPERIENCE TEAM CONTACT INFORMATION

TELEPHONE: ° 234-1-448 8282, 810 8282, 0700 CALLARM (0700 225 5276)	EMAIL: enquiries@arminvestmentcenter.com	WEB: www.arminvestmentcenter.com
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Founded in May 1994, ARM offers you wealth creation opportunities through a unique blend of traditional asset management and alternative investment services.

We enable businesses to thrive, and help our clients to make the most of their investments and realise their most important goals. For over two decades, we have built a firm, uniquely equipped to achieve these objectives and our reputation for quality research, investment expertise and value-added services has endeared us to clients both locally and internationally. Throughout our history, we have strategically positioned ourselves to take advantage of growth opportunities within Africa and major international markets on behalf of our clients.

ARM is registered with and regulated by the Nigerian Securities & Exchange Commission (SEC). ARM is headquartered in Lagos, Nigeria with offices across the country and Africa.